



IFW 2834

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: November 7, 2006 Name: Joshua E. Garfield, Reg. No. 58,416 Signature: *Joshua E. Garfield*

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Shen ZHAO

Appln. No.: 10/551,535

Filed: September 30, 2005

For: ROTARY ACTUATOR

Attorney Docket No: 11955/9

Examiner: Hanh N. Nguyen

Art Unit: 2834

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Second Supplemental Information Disclosure Statement (in duplicate)
- ☒ Form PTO 1449
- ☒ Copies of six (6) cited references
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_ . A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).

BRINKS HOFFER GILSON & LIONE

NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

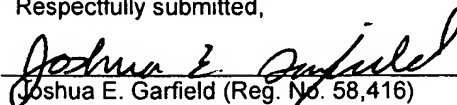
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& LIONE

- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

November 7, 2006

Date

  
Joshua E. Garfield (Reg. No. 58,416)



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Group Art Unit: 2834

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following references:

**FOREIGN PATENT DOCUMENTS**

Document No.	Date of Publication	Patentee/Applicant/Assignee
JP 2002-218724	08/02/2002	Matsushita Electric Ind. Co. Ltd.
JP H06-153424	05/31/1994	Toshiba Corp.
JP H06-225508	08/12/1994	Lucas Ind. Inc.
JP H07-046813	02/14/1995	Kokusai Gijutsu Kaihatsu KK Secom Co Ltd.
JP H09-287485	11/04/1997	Unisia Jecs. Corp.
JP H10-288051	10/27/1998	Mikuni Corp.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Pursuant to 37 C.F.R. §1.98(a)(3), the Applicant has included an English abstract and a translation of the relevant portions of each of the cited references. Each of the cited references were previously cited in a Japanese Office Action for a corresponding Japanese application, JP App. No. 2003-100862, published with JP Pub. No. 2004-312829. The Applicant has further provided a Japanese language copy of the Japanese Office

Action. The Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, the Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

The Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

November 7, 2006  
Date

  
Joshua E. Garfield (Reg. No. 58,416)

BRINKS HOFER GILSON & LIONE  
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FORM PTO-1449 U.S. PATENT AND TRADEMARK OFFICE	APPLICATION NO. 10/551,535	ATTORNEY DOCKET NO. 11955/9
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE September 30, 2005	GROUP ART UNIT 2834
(use several sheets if necessary)	APPLICANTS: Shen ZHAO	

#### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/ Subclass	Filing Date

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Country Code, Number -Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/ Subclass	Translation Yes or No
	C1	JP 2002-218724	08/02/2002	Matsushita Electric Ind. Co. Ltd.		Yes
	C2	JP H06-153424	05/31/1994	Toshiba Corp.		Yes
	C3	JP H06-225508	08/12/1994	Lucas Ind. Inc.		Yes
	C4	JP H07-046813	02/14/1995	Kokusai Gijutsu Kaihatsu KK Secom Co Ltd.		Yes
	C5	JP H09-287485	11/04/1997	Unisia Jecs. Corp.		Yes
	C6	JP H10-288051	10/27/1998	Mikuni Corp.		Yes

EXAMINER INITIALS	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					Translation Yes or No

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.